



Ticket to Work Program

All EN Payments Call

Part I

Tuesday, June 25, 2013

EN Payment Rules and Application

Part I

Phase 1/Phase 2 Milestones

- Benefits
- Attainment
Month
- Payment
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Part II

Phase 1/Phase 2 Milestones

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- 18-Month
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Outcome

Phase 1/Phase 2 Milestone- Benefits

Phase 1/Milestones - Phase 2/Milestones					
	PH1/M1	Ph1/M2	Ph1/M3	Ph1/M4	Ph2/Ms
Benefits	Current pay status				

Rule
Benefits must be in current pay status. If Beneficiary is receiving both SSDI/SSI benefits (Concurrent), at least one of the benefits must be in current pay status.

Phase 1/Phase 2 Milestone – Benefits (cont.)

EN submits a Payment Request (PR) for:

Phase 1 Milestone 2 Attainment Month: 09/11

Phase 1 Milestone 3 Attainment Month: 12/11

Pay stubs with earnings above Trial Work Level (TWL) were submitted for months 09/11 – 12/11.

Determination: Both claims denied.

Denial Reason: Outcome Period Entered- Milestones Can't be Paid.

Comments: Beneficiary's benefits are suspended. Outcome period started 09/11; no more Ph1MS or Ph2 MS can be paid.

Phase 1/Phase 2 Milestone – Benefits (cont.)

Explanation: Beneficiary entered the outcome period 09/11. Social Security placed the beneficiary into a nonpaid payment status due to work and earnings. According to the §411 Code of Federal Regulations, once a beneficiary enters the outcome period, only outcome claims can be processed for payment. ENs will receive any outstanding Phase 1 or Phase 2 milestone payments at reconciliation. Reconciliation payments are processed after the 12th outcome claim is paid. There is no limitation to the timeframe claims can be submitted or paid.

If earnings were at substantial gainful activity (SGA) level, the claims possibly would have been denied for the Phase 1 Milestones 2-3 claims and outcome claims would have been created and paid for all months the beneficiary remained in a nonpaid payment status due to work and earnings and had earnings \geq SGA. Or claims would have been created and paid as outcome claims and comments annotated: Phase 1 Milestones 2-3 not paid due to beneficiary entering outcome period. Outcomes #n – n paid.

Phase 1/Phase 2 Milestones - Attainment Month

Phase 1/Milestones - Phase 2/Milestones					
	PH1/M1	Ph1/M2	Ph1/M3	Ph1/M4	Ph2/Ms
Attainment Month and Time Frame for Ph1/Ms and Ph2/Ms	1 month. The 1st month after the Ticket assignment date (month) or any other month after	3 months within a 6 months period	6 months within a 12 months period	9 months within a 18 months period.	Any month after the Ph1/M4 is paid.

Rule

The claim months to meet Ph1/M2, Ph1/M3 or Ph1/M4, not necessarily have to be consecutive months. However, we need 3, 6 or 9 months within the timeframe specified for each of these Ph1/Ms.

Ph1/Ph2 Milestones - Attainment Month (cont.)

Example #1

EN submits a PR for:

Phase 1 Milestone 1 Attainment Month: 07/11

Phase 1 Milestone 2 Attainment Month: 09/11

Phase 1 Milestone 3 Attainment Month: 08/12

The Ticket assignment date is 04/11. There are no earnings prior to Ticket assignment date and the beneficiary has not received any services from a VR. The EN also submitted an Unemployment Insurance (UI) Report that showed the beneficiary had earnings above trial work level for:

- Third Quarter 2011
- Third Quarter 2012

Determination: Phase 1 Milestones 1-2 Paid. Phase 1 Milestone 3 Denied.

Denial Reason: Not Enough Months with Earnings for Milestone

Comments: EN needs to submit claim months within the required timeframe with Trial Work Level earnings. Phase 1 Milestone 3; 6 out of 12 months.

Ph1/Ph2 Milestones - Attainment Month (cont.)

Explanation: The first month payable for a claim under the Ticket program was 05/11; the month after Ticket assignment.

Phase 1 Milestone 1 Claim: The EN submitted a PR for 07/11 with a UI Report. The Operations Support Manager (OSM) was able to confirm the earnings posted to the UI Report based on quarterly earnings listed on the Office of Child Support and Enforcement (OCSE) file posted to Social Security's system. The beneficiary was in a current pay payment status and never entered the outcome period. The attainment month was three months after the Ticket assignment date so this month was available for a Phase 1 milestone payment. The EN met the criteria for this claim; therefore a payment was issued.

Ph1/Ph2 Milestones - Attainment Month (cont.)

Phase 1 Milestone 2 Claim: The EN submitted a UI report for the Third Quarter of 2011 (07/11 – 09/11) with monthly earning averages above TWL. OSM was able to confirm the earnings posted to the UI Report for July 2011 – September 2011 based on quarterly earnings listed on the OCSE file posted to Social Security's system. The beneficiary was in a current pay payment status and never entered the outcome period. The earnings were at TWL for 3 out of 6 months (July, August and September). The EN met the criteria for a Phase 1 Milestone 2; therefore a payment was issued.

Ph1/Ph2 Milestones - Attainment Month (cont.)

Phase 1 Milestone 3 Claim: The EN submitted a UI report for the Third Quarter of 2012 (07/12-09/12) with monthly earning averages above TWL. OSM was able to confirm the earnings posted to the UI Report for July 2012 – September 2012 based on quarterly earnings listed on the OCSE file posted to Social Security’s system. The beneficiary was in a current pay payment status and never entered the outcome period. However, the beneficiary **did not** have earnings that satisfied a Phase 1 milestone 3 requirement. The beneficiary needed to have TWL earnings 6 out of 12 months. Listed below is the timeframe the beneficiary earned 6 months at TWL.

Ph1/Ph2 Milestones - Attainment Month (cont.)

Example #1

Month #	Month	TWL Earnings (Y or N?)	
1	Jul/2011	Y	 
2	Aug/2011	Y	
3	Sep/2011	Y	
4	Oct/2011	N	
5	Nov/2011	N	
6	Dec/2011	N	
7	Jan/2012	N	
8	Feb/2012	N	
9	Mar/2012	N	
10	Apr/2012	N	
11	May/2012	N	
12	Jun/2012	N	 <div style="border: 1px solid black; padding: 5px; background-color: #f8d7da;"> <p>(This is the last month that earnings from Jul/2011 could be included for the P1M3 to Meet the requirement <u>6 out of 12</u> months)</p> </div>
13	Jul/2012	N	
14	Aug/2012	N	
15	Sep/2012	N	

Since the beneficiary did not achieve TWL for the 6 out of 12 month period beginning with July 2011, the beneficiary’s earnings for the third quarter of 2012 would require a “recount” period. Starting with 07/12, the period for a Phase 1 Milestone 3 payment begins all over and 07/12 would be considered Month #1 towards achieving 6 months of TWL.

Ph1/Ph2 Milestones - Attainment Month (cont.)

Example #2

EN submits PR for:

Phase 1 Milestone 1 Attainment Month: 07/08

Phase 1 Milestone 2 Attainment Month: 09/08

Phase 1 Milestone 3 Attainment Month: 01/09

The Ticket assignment date is 01/08. The EN is aware that the earliest attainment date under the Ticket Program is 07/08 based on the new regulations effective July 21, 2008. There are no earnings prior to Ticket assignment date and the beneficiary has not received any services from a VR. The EN also submitted an Unemployment Insurance (UI) Report that showed the beneficiary had earnings above substantial gainful activity (SGA) for:

- Third Quarter 2008
- Fourth Quarter 2008
- First Quarter 2009

Social Security systems indicated verified earnings above SGA for the period February 2008 thru April 2009

Ph1/Ph2 Milestones - Attainment Month (cont.)

Example #2 continued

Social Security systems indicated verified earnings above SGA for the period February 2008 thru April 2009.

Determination:

Phase 1 milestone paid 07/08

Phase 2 milestone paid 08/08

Phase 3 milestone paid 09/08

Phase 4 milestone paid 10/08

Phase 2 milestones paid: 11/08 – 04/09

Comments: EN eligible for Phase 1 milestone payments beginning 07/08. Earnings prior to 07/08 and after ticket assignment can be used to make payment determinations.

Ph1/Ph2 Milestones - Attainment Month (cont.)

Example #2 continued

Explanation: All of a beneficiary wages earned after ticket assignment can be used in making payment determinations. However, the earliest month a claim is payable is July 2008 based on the new regulations effective July 21, 2008. Listed below is a chart showing how earnings were used to determine claims payable for the payment request submitted. The rule for using Lookback earnings was effective 07/21/08; however, beneficiary had no earnings prior to the ticket assignment date of 01/08.

Ph1/Ph2 Milestones - Attainment Month (cont.)

Example #2 continued

<u>Month #</u>	<u>Month</u>	<u>Earnings</u>	<u>Payment Assessed</u>
1	02/08	\$1,020	
2	03/08	\$1,020	
3	04/08	\$1,020	
4	05/08	\$1,020	
5	06/08	\$1,020	
6	07/08	\$1,020	P1M1 (07/08)
7	08/08	\$1,020	P1M2 (06/08 - 08/08)
8	09/08	\$1,050	P1M3 (04/08 - 09/08)
9	10/08	\$1,050	P1M4 (02/08 - 10/08)
10	11/08	\$1,050	Phase 2 MS 1
11	12/08	\$1,050	Phase 2 MS 2
12	01/09	\$1,100	Phase 2 MS 3
13	02/09	\$1,100	Phase 2 MS 4
14	03/09	\$1,100	Phase 2 MS 5
15	04/09	\$1,100	Phase 2 MS 6

Phase 1/Phase 2 Milestones - PRF (Payment Request Form)

Phase 1/Milestones - Phase 2/Milestones					
	PH1/M1	Ph1/M2	Ph1/M3	Ph1/M4	Ph2/Ms
Payment Request (PR) Form	If attainment month is 10/2011 or after , the New PR form must be used to submit a PR. Section III and Section V needs to be filled with the required information				If attainment month for Ph2/M11 is 10/2011 or after

Rule
Select the correct option on Section III . Complete the Section V , with date and the services provided to the Beneficiary since the last payment received for Ph1/M2-M3. Section III and Section V , N/A for Ph1/M4 or PH2/Ms

Phase 1/Phase 2 Milestones - PRF (cont.)

EN submitted a PR for a *Phase 1 Milestone 2* for the attainment month 11/12. The Ticket assignment date was 11/2011. The EN submitted a certification PR and annotated recent contact with the beneficiary and stated that the beneficiary reported earnings above TWL and listed the employer.

Sections IV and V of the PR are shown below.

V. EN Services Details

If requesting Phase 1 Milestone 1, describe in detail the services provided since the ticket assignment date. If requesting Phase 1 Milestone 2 or 3, describe in detail the services provided since the last milestone payment month.

Milestone Payment	Date of Services	Description of Services
P1M- 1	12/11, 1/10/12,3/26/12 _____	Provided counseling, training, and job search _____
P1M- 2	_____	_____
P1M- 3	_____	_____

Note: When requesting the following payments, complete and attach the EN Services Certification Statement: Phase 1 Milestone 4, Phase 2 Milestone 11, Outcome 11 or Outcome 22.

Phase 1/Phase 2 Milestones - PRF (cont.)

Initial Determination: Claim was diarized and the Payments Help Desk requested that the EN fill out Section V of the PR to provide details and dates of services the EN provided the beneficiary for the Phase 1 milestone 2.

The EN resubmitted the PR with the same information in Section V for the Phase 1 milestone 2.

Determination: The P1M2 claim is denied.

Denial Reason: Insufficient Documentation

Comments: EN did not respond to request to submit additional/missing information required to process the claim.

Phase 1/Phase 2 Milestones - PRF (cont.)

Explanation: When ENs submit claims that do not provide all information required to make a determination, EN Payments staff diarize claims for 9 business days and submit requests to the Payments Help Desk (PHD) to notify ENs of missing information. If ENs do not supply the requested information within 9 business days, EN Payments staff deny the claims.

The Request for Quotation (RFQ) dated August 2012 states:

The EN must provide career planning and job placement and retention services during Phase 1. ENs must submit a summary of services provided since the last milestone payment with each Phase 1 milestone request. The summary should include the date of service, duration, type of service and staff providing the service.

The EN did **not** provide a summary of services since the Phase 1 milestone 1 payment, nor did the EN provide: the date of service, duration, type of service and staff providing the service. Since this information is one of the criteria for Phase 1 milestone payments and it was not received, the payment was denied.

Join us next month for Part II

Part II

Phase 1/Phase 2 Milestones

- Earnings
- 18-MLB Rule
- SVR
Involvement
- EN Certification
of Services

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Questions

